

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : C08G 18/08, 18/10, 18/36, C09D 175/04	A1	(11) International Publication Number: WO 95/23818 (43) International Publication Date: 8 September 1995 (08.09.95)
(21) International Application Number: PCT/US95/00489 (22) International Filing Date: 16 January 1995 (16.01.95) (30) Priority Data: 203,816 1 March 1994 (01.03.94) US (60) Parent Application or Grant (63) Related by Continuation US 203,816 (CON) Filed on 1 March 1994 (01.03.94) (71) Applicant (for all designated States except US): REICHHOLD CHEMICALS, INC. [US/US]; 2400 Ellis Road, Durham, NC 27703 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): NAPLES, Gerald [US/US]; 2121-7 Stonehenge Drive, Raleigh, NC 27615 (US). PETSCHKE, Glenn, H. [US/US]; 5701 Raddington Street, Raleigh, NC 27613 (US). YANG, Shi [CN/US]; 1104 Belhaven Road, Cary, NC 28513 (US). (74) Agents: SAJOVEC, F., Michael et al.; Bell, Seltzer, Park & Gibson, P.O. Drawer 34009, Charlotte, NC 28234 (US).	(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ). Published <i>With international search report.</i>	
(54) Title: MAR AND SCUFF RESISTANT POLYURETHANE COMPOSITION		
(57) Abstract <p>The polyurethane composition is a stable water dispersible oil modified urethane polymer. In another embodiment, the present invention provides a mar and scuff resistant coating composition. The coating composition comprises a prepolymer having isocyanate groups, acid or amine salt forming groups and ethylenic unsaturation, and an active hydrogen-containing compound having active hydrogen functionality of two or more; wherein a portion of the prepolymer is chain extended with an active hydrogen-containing compound having active hydrogen functionality of four or more.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

**MAR AND SCUFF RESISTANT
POLYURETHANE COMPOSITION**

Field of the Invention

This invention relates to polyurethane compositions, and in particular to water dispersed oil modified urethane coating compositions having improved
5 mar and scuff resistance.

Background of the Invention

It is generally known to those in the coatings industry that polyurethane coatings exhibit excellent abrasion resistance, flexibility, chemical
10 resistance and durability. These coatings have found significant utility in the coating of hard surfaces such as wood floors which require highly durable coatings which should be mar and scuff resistant. Conventional oil modified urethanes are prepared in
15 organic solvents and applied as clear or pigmented finishes. Once applied the solvent evaporates and cure of the film is achieved by air oxidation of the oil portion of the polymer. The conventional solvent borne urethanes have provided high performance floor coatings
20 for many years but due to government regulation of volatile organic compounds (VOC) are now being restricted in certain locales. Although less polluting
high solids versions of these solvent borne coatings are known, there is typically a compromise in
25 performance, particularly dry rate and hardness.

An alternative is to use water borne water dispersible polyurethanes. The applications for these types of coatings include wood and vinyl flooring topcoats, vinyl upholstery, plastics, automotive
30 basecoat and primers, leather finishes, fiberglass

-2-

finishes, printing inks and adhesives. Water borne urethane coatings are particularly attractive as wood floor coatings or varnishes due to their abrasion resistance, fast air dry, low volatile organic content (VOC), nonflammability and easy water clean up.

Generally, water dispersible polyurethanes can be made by first reacting polyalcohols and a dihydroxy carboxylic acid with an excess of diisocyanate to form an isocyanate terminated prepolymer. This prepolymer is then made water dispersible by neutralization of the acid group with ammonia or tertiary amine to form the corresponding salt group. An alternative approach is to replace the dihydroxy carboxylic acid portion with a dihydroxy tertiary amine which would be neutralized with an acid such as hydrochloric, acetic or the like. These polymers are for the most part linear since highly crosslinked polymers form gels which are not suitable for coating applications.

For example, U.S. Patent No. 4,277,380 to Williams et al. proposes that aqueous polyurethane dispersions can be prepared by reaction of an ethylenically unsaturated fatty acid ester polyol of a drying or semi-drying fatty acid and an isocyanate terminated urethane prepolymer of a hydrocarbon diisocyanate and a dihydroxy alkanoic acid. This acid functional polymer can then be neutralized with ammonia or amines, preferably tertiary amines, and dispersed in water. Coating compositions of this type have deficiencies in that they are brittle and do not have the long term storage stability required of a trade sales varnish.

U.S. Patent Nos. 4,066,591 and 4,147,679 to Scriven et al. proposes that polyurethane-polyurea dispersions can be prepared from polyurethane prepolymers containing ethylenic unsaturation which are chain extended with diamines. These dispersions do not

-3-

provide adequate performance particularly mar and scuff resistance for applications such as coatings for wood flooring.

Generally, linear polyurethane dispersions do not provide all the key features desired in a floor finish such as mar and scuff resistance. Due to the cost of these polyurethane dispersions suppliers to the floor finish industry typically add acrylic emulsions to lower the cost. This modification results in floor finishes with much poorer impact resistance. Polyfunctional aziridines can crosslink these polymers which significantly improves these properties, however, the aziridines can carry serious health risks. Carbodiimides have also been recommended as crosslinking agents for anionic polyurethane dispersions but have proven significantly less effective.

Thus there is a need for a storage stable oil modified polyurethane dispersion which produces fast air drying, high hardness, good mar, scuff, abrasion, stain and chemical resistant coatings.

Summary of the Invention

It is an object of the present invention to provide a stable polyurethane coating composition having improved mar and scuff resistance.

It is another object of the present invention to provide a stable polyurethane composition which is air dryable, has a high hardness and is resistant to abrasions, chemicals and stains.

These and objects, features, and advantages are achieved by the polyurethane composition of the present invention. The polyurethane composition is a water dispersible oil modified urethane polymer. The urethane polymer comprises a prepolymer having isocyanate groups, acid or amine salt forming groups and ethylenic unsaturation, and an active hydrogen-

-4-

containing compound having active hydrogen
functionality of two or more; wherein a portion of the
prepolymer is chain extended with an active hydrogen-
containing compound having active hydrogen
5 functionality of four or more.

In another embodiment, the present invention
provides a mar and scuff resistant coating composition.
The coating composition comprises a urethane
composition dispersed in water and a promoter which
10 promotes crosslinking by air oxidation of the ethylenic
unsaturated portion of the urethane composition. The
urethane composition comprises a prepolymer having
isocyanate groups, acid or amine salt forming groups
and ethylenic unsaturation, and an active hydrogen-
15 containing compound having active hydrogen
functionality of two or more; wherein a portion of the
prepolymer is chain extended with an active hydrogen-
containing compound having active hydrogen
functionality of four or more.

20 In another embodiment, the present invention
provides a method of forming a mar and scuff resistant
water dispersible oil modified urethane polymer. The
method comprises the steps of forming a prepolymer
having isocyanate groups, acid or amine salt forming
25 groups and ethylenic unsaturation; neutralizing the
acid or amine salt of the prepolymer formed in the
preceding step; dispersing the neutralized prepolymer
in an aqueous medium; and chain extending the dispersed
prepolymer with an active hydrogen-containing compound
30 having active hydrogen functionality with the proviso
that a portion of the chain extension is conducted with
an active hydrogen-containing compound having active
hydrogen functionality of four or more.

Detailed Description of the Invention

35 As summarized above, the present invention
provides a water dispersible oil modified urethane

-5-

polymer. The urethane polymer comprises a prepolymer having isocyanate groups, acid or amine salt forming groups and ethylenic unsaturation, and an active hydrogen-containing compound having active hydrogen
5 functionality of two or more. A portion of the prepolymer is chain extended with an active hydrogen-containing compound having active hydrogen functionality of four or more. The urethane polymer is dispersed in an aqueous medium such as water to provide
10 a mar and scuff resistant coating composition. The coating composition is stable, has a high hardness, and is a resistant to abrasions, chemicals and stains.

Particularly suitable polyisocyanates are those corresponding to the $R(NCO)_n$ formula wherein R
15 represents a difunctional aliphatic hydrocarbon radical containing 4 to 18 carbon atoms or a difunctional cycloaliphatic hydrocarbon radical containing 5 to 15 carbon atoms. It is also possible, although not preferred, to use polyisocyanates wherein R is a
20 difunctional aromatic hydrocarbon radical containing 6 to 40 carbon atoms or an araliphatic hydrocarbon radical containing 7 to 40 carbon atoms.

Exemplary isocyanates include 1,4-tetramethylene diisocyanate, 1,6-hexamethylene
25 diisocyanate, 2,2,4-trimethyl-1,6-diisocyanatohexane, 1,10-decamethylene diisocyanate, 1,4-cyclohexylene diisocyanate, 4,4'-methylenebis(isocyanatocyclohexane), 1-isocyanato-3-isocyanatomethyl-3,5,5-trimethyl-cyclohexane, *m*- and *p*-phenylene diisocyanate, 2,6- and
30 2,4-tolylene diisocyanate, xylene diisocyanate, 4-chloro-1,3-phenylene diisocyanate, 4,4'-biphenylene diisocyanate, 4,4'-methylene diphenylisocyanate, 1,5-naphthylene diisocyanate, 1,5-tetrahydronaphthylene diisocyanate, and polymethylene polyphenylisocyanates.
35 The polyisocyanates may include various other functional moieties such as ketones, esters, aldehydes, amides, halogenated hydrocarbons ethers and tertiary

-6-

amines. Exemplary polyisocyanates are described in, for example, U.S. Patent Nos. 4,066,591 and 4,147,679 to Scriven et al.; 4,277,380 to Williams et al.; 4,499,233 to Tetenbaum et al.; and 4,745,151 to Noll et al., the disclosures of which are incorporated herein by reference in their entirety.

The preferred salt forming acid groups are introduced into the prepolymer by reacting isocyanate compounds with which contain both active hydrogens and acid groups. Specific examples of compounds which contain active hydrogens and acid groups capable of salt formation are hydroxy and mercapto carboxylic acids, aminocarboxylic acids, aminohydroxy carboxylic acids, sulfonic acids, hydroxy sulfonic acids and aminosulfonic acids such as described in U.S. Patent Nos. 4,147,679 to Scriven et al. and 4,745,151 to Noll et al. A particularly suitable compound is dimethylol propionic acid. The prepolymers have an acid value of 10 to 50 at 100 solids. Suitable neutralizing agents for these acid groups include inorganic and organic bases such as sodium hydroxide, potassium hydroxide, ammonia and tertiary amines such as triethyl amine, dimethyl ethanol amine and the like.

The preferred salt forming basic groups are introduced into the prepolymer by reacting isocyanate compounds with which contain both active hydrogens and basic groups. Specific examples of compounds which have active hydrogens and basic tertiary amino groups are aliphatic, cycloaliphatic, aromatic and heterocyclic amino alcohols, diols and triols. Examples include N,N-dimethylethanolamine, N-methyl-N-(β -hydroxyethyl)aniline, N-hydroxy-ethylpiperidine, methyl diethanolamine, cyclohexyl diethanolamine, N,N-(bishydroxyethyl)aniline, N,N-bis(hydroxyethyl)- α -aminopyridine. Other specific examples are amines, diamines, triamines and amides such as N,N-dimethyl hydrazine, N,N-dimethyl ethylenediamine, alpha-

-7-

aminopyridine, N-aminopropylethylene imine and bis-(3-aminopropyl)methylamine. The prepolymers have an amine value of 10 to 50 at 100 solids. Suitable neutralizing agents for these basic groups are organic and inorganic acids such as hydrochloric acid, acetic acid, phosphoric acid, glycollic acid, lactic acid and the like.

Ethylenic unsaturation is introduced into the prepolymer by ester polyols made by reaction of an aromatic or aliphatic polyol containing at least two hydroxyl groups per molecule and a fatty acid characterized by a portion thereof being an unsaturated fatty acid. The fatty acids are sometimes referred to as "drying" or "semi-drying" oils, particularly when in the form of an ester. An exemplary reaction is to partially esterify a polyol with an unsaturated fatty acid. Suitable polyols include low molecular weight diols, triols, and higher alcohols. Specific polyols include ethylene glycol, propylene glycol, 1,3 propane diol, 1,3-butylene glycol, 1,4 butane diol, Bisphenol A, glycerin, trimethylol propane, pentaerythritol, neopentyl glycol, and cyclohexane dimethanol. Ethylenic unsaturation may also be provided by transesterification of a drying or a semi-drying oil with an aromatic or aliphatic polyol containing at least two hydroxyl groups per molecule. Suitable drying or semi-drying oils include linseed oil, soybean oil, safflower oil, tall oil, sunflower oil, dehydrated castor oil, tung oil, sardine oil, olive oil, cottonseed oil and the like. Suitable unsaturated fatty acids include linoleic, palmitoleic, linolenic, eleostearic, arachidonic ricinoleic acids and the like. The reaction of the polyol and fatty acid can be catalyzed by transesterification catalysts such as calcium naphthenate, lithium neodecanoate, zinc acetate, tin oxide and the like. A color stabilizer such as trisnonyl phenyl phosphite may also be added.

-8-

Other polyols may optionally be included. Exemplary other polyols include polycarbonate, polyester, polyether, and acrylic polyols.

The term "active hydrogen containing compound" relates to a compound having hydrogens which, because of their position in the molecule, display activity according to the Zerewitinoff test. Active hydrogens include hydrogen atoms attached to oxygen, nitrogen, or sulfur, and thus useful compounds will include those having at least two of these groups (in any combination) -OH, -SH,



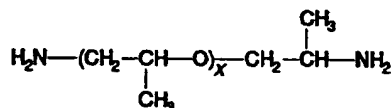
and -NH₂. The moieties attached to each group can be aliphatic, aromatic, cycloaliphatic or of a mixed type not including carbonyl, phosphonyl or sulfonyl linkages such as described in U.S. Patent No. 4,147,679 to Scriven et al.

Examples of such compounds include amines, which includes polyamines, aminoalcohols, mercapto-terminated derivatives, and alcohols, which includes polyols which are preferred because of the ease of reaction they exhibit with polyisocyanates. Alcohols and amines generally give no side reactions, giving higher yields of urethane (or urea) product with no by-product and the products are hydrolytically stable. Also, with regard to polyols, there are a wide variety of materials available which can be selected to give a wide spectrum of desired properties. In addition, the polyols have desired reaction rates with polyisocyanates. Both saturated and unsaturated active hydrogen-containing compounds can be used, but

-9-

saturated materials are preferred because of superior coating properties.

The amines which can be employed in the preparation of the urethanes of the invention can be primary or secondary diamines in which the radicals attached to the nitrogen atoms can be saturated or unsaturated, aliphatic, alicyclic, aromatic, aromatic-substituted aliphatic, aliphatic-substituted aromatic or heterocyclic. Exemplary of suitable aliphatic and alicyclic diamines are: ethylene diamine, 1,2-propylene diamine, 1,8-menthane diamine, isophorone diamine, propane-2,2-cyclohexyl amine, methane-bis-(4-cyclohexyl amine), 1,6-diaminohexane, hydrazine, and



where $x = 1$ to 10 .

Aromatic diamines such as the phenylene diamines and the toluene diamines can be employed. Exemplary of the aforesaid amines are: o-phenylene diamine and p-tolylene diamine. N-alkyl and N-aryl derivatives of the above amines can be employed such as, for example, N,N'-dimethyl-o-phenylene diamine, N,N'-di-p-toyl-m-phenylene diamine, and p-aminodiphenylamine.

Extension of the prepolymer to obtain high molecular weight polymers is achieved by reaction of the neutralized water dispersed isocyanate terminated prepolymer with an active hydrogen-containing compound having active hydrogen functionality of two or more. Typically this is provided by a diamine or a triamine. Suitable diamines include ethylene diamine, 1,2-and 1,3-diaminopropane, 1,6-diaminohexane, 1,3-diamino-2,2-

-10-

dimethylpropane, isophorone diamine, 1,3- and 1,4-diaminohexane, 4,4'-diaminodicyclohexylmethane, 4,4'-diamino-3,3'-dimethyldicyclohexylmethane, 1,4-bis(2-aminoprop-2-yl)-cyclohexane, 3,3'-dimethyl-4,4'-
5 diaminodicyclohexylmethane and mixtures of these diamines. Suitable triamines include diethylene triamine and dipropylene triamine. In order to achieve the desired mar and scuff resistance, a portion of the prepolymer must be chain extended with an active
10 hydrogen-containing compound having active hydrogen functionality of four or more. Typically at least about 1 to 100 percent, preferably greater than about 10 percent, and more preferably greater than about 25 percent of the prepolymer is extended with an active
15 hydrogen-containing compound having active functionality of four or more. Exemplary active hydrogen-containing compounds having active functionality of four or more include triethylene tetramine, tripropylene tetramine and tetraethylene
20 pentaamine.

The prepolymer is generally prepared in the presence of a solvent for the reactants and the prepolymer product. The solvents are usually organic and may be comprised essentially of carbon and hydrogen
25 with or without other elements such as oxygen or nitrogen. The solvent employed is essentially inert to the reaction, and, thus, should not contain active hydrogen as determined by the Zerewitinoff test. Solvents which may be employed include highly polar
30 solvents such as dimethylformamide, esters, ethers, ketoesters, ketones, e.g., methyl ethyl ketone and acetone, glycol-ether-esters, chlorinated hydrocarbons, aliphatic and alicyclic hydrocarbon pyrrolidones, e.g., N-methyl pyrrolidones, hydrogenated furans, aromatic
35 hydrocarbons, and the like, and mixtures thereof. The amount of solvent employed in making the prepolymer can vary widely and should be sufficient to provide a

-11-

prepolymer solution having a sufficiently low viscosity to facilitate the preparation of the prepolymer used in this invention. It is desirable to minimize the amount of solvent to minimize VOC's. For example, the solids
5 content of the prepolymer solution may be about 30 to 100 percent by weight, preferably about 60 to 100 percent by weight.

Prepolymer formation can be carried out by admixing the diisocyanate and other reactants in any
10 suitable manner desired. To facilitate the reaction, from about 0.005 to about 1.000 percent by weight of the total reactants of a conventional urethane catalyst can be added. Such catalysts are exemplified by
15 catalytically active compounds of bismuth, lead, tin, titanium, iron, antimony, uranium, cadmium, cobalt, thorium, aluminum, mercury, zinc, nickel, vanadium, cerium, as well as magnesium oxide, barium oxide, tertiary amines, pyrones and lactams, with the preferred catalyst being dibutyltin dilaurate.

20 The oil-modification reaction introduces unsaturated fatty acid moieties into the polymer structure. The oil-modified urethane polymers prepared in accordance with this invention have acid values sufficient for their salt form to be dispersible in the
25 aqueous medium, and such values may often be about 10 to 50 on a nonvolatile basis. The solids content of the solutions may be, for instance, about 30 to 100 percent weight, preferably about 60 to 100 percent weight, before salt formation and dispersion in water.

30 The oil-modified urethane polymers of this invention are advantageously employed as aqueous coating compositions, i.e., the prepolymers are dispersed in an aqueous medium such as water. The dispersed prepolymer is then chain extended with an
35 active hydrogen-containing compound having active hydrogen functionality with the proviso that a portion of the chain extension is conducted with an active

-12-

hydrogen-containing compound having active hydrogen functionality of four or more. Frequently, the aqueous compositions have a relatively neutral pH, say, about 6 to 11, preferably about 6.5 to 9.0. It is noted that
5 neutralizing the acid or amine salt of the prepolymer and chain extension can occur substantially simultaneously.

The urethane composition includes a promoter for promoting crosslinking by air oxidation of the
10 ethylenic unsaturated portion of the urethane polymer after application. Suitable promoters include cobalt calcium, manganese or zirconium neodecanoate, 1,10-phenanthroline, and 2,2-bipyridyl.

The composition can contain other ingredients
15 for coating compositions to modify the properties of the resultant oil-modified urethane, such as plasticizers, pigments, colorants, dyes, surfactants, thickeners, heat stabilizers, leveling agents, anti-catering agents, fillers, sedimentation
20 inhibitors, ultraviolet-light absorbers, and the like. The additives such as promoters, heat stabilizers, ultraviolet-light absorbers, etc., can be intimately dispersed in the reaction mixture and apparently thereby become an integral part of the polymer
25 urethane. Alternatively, the additive may be introduced after the urethane polymer has been formed, in which case the additive can be incorporated on the surface of the polymer or dispersed in the aqueous medium. The resulting urethane coating composition has
30 improved mar and scuff resistance properties, and is air dryable, has a high hardness and is resistance to abrasions, chemicals, and stains.

The foregoing examples are illustrative of the present invention, and are not to be construed as
35 limiting thereof. The invention is defined by the following claims, with equivalents of the claims to be included therein.

-13-

EXAMPLES**Example 1**

An oil ester polyol was prepared in a clean dry flask fitted with an agitator, thermometer, nitrogen inlet and condensor, wherein 2500 parts of linseed oil and 203.5 parts of pentaerythritol are reacted in the presence of 3.2 parts of lithium (2 percent) Ten Cem available from OMG, Inc., Cleveland, Ohio. On completion of the alcoholysis, 13.5 parts of tris nonyl phenyl phosphite color stabilizer is added. The resulting oil ester polyol had a hydroxyl value of 123.6. To 120 parts of the ester polyol, is added 18.2 parts of dimethylol propionic acid, 24 parts of a neopentyl glycol-1,6-hexane diol-adipic acid polyol having a hydroxyl value of 120. 96.4 parts of 4,4'-methylenebis (isocyanatocyclohexane), 43.2 parts of N-methyl pyrrolidinone and 0.08 parts of dibutyl tin dilaurate available as Metacure T-12 from Air Products and Chemicals, Inc., Allentown, Pennsylvania. The reaction mixture is heated to 85°C until the isocyanate content is constant. The resulting prepolymer is then added to 13.7 parts of triethyl amine, 2.7 parts of ethylene diamine, 1.6 parts of triethylene tetramine chain extender and 502.2 parts of water.

To 500 parts of this dispersion is added 1.14 parts of Cobalt (5 percent) Hydro Cure II cobalt neodecanoate promoter and 0.34 parts of Dri-RX 2,2-bipyridyl available from Mooney Chemicals, Inc., Cleveland, Ohio.

Example 2

Example 1 is repeated except 1.6 parts of tetraethylene pentaamine is used as the chain extender instead of triethylene tetramine.

-14-

Comparative Example 1

Example 1 is repeated except only ethylene diamine is used as the chain extender.

Table 1

	Example 1	Example 2	Comparative Example 1	
5	Wet Properties:			
	NV%	32	33	34
	pH	8.1	7.8	7.8
	Viscosity cps @ 25°C	99	33	39
	Film Properties:			
10	Dry hard, hrs	1.0	1.0	2.0
	Scuff resistance	4	4	3.5
	Mar resistance	Good	Good	Fair
	Sward hardness	40	40	40
	Chemical resistance	Good	Good	Fair
15	Impact, D/R	160/160	160/160	160/160

Table 1 summarizes the wet and film properties of the Examples. Dry time is measured on a 3 mil thick wet film using a Gardner Circular Dry Time Recorder. Scuff resistance is measured on a 3 wet mil thick coating cured for 7 days at 77°F and 50 percent relative humidity; placing the cured panel on the floor and holding down with one foot; vigorously kicking the panel 5 times with shoe that has a soft rubber soled shoe; immediately cleaning the scuff that the kick makes with mineral spirits (7 percent aromatic) and letting dry thoroughly; and rating the scuff from 0 to 5 with 0 being the worst and 5 being the best. Mar resistance is measured on a 3 mil thick wet coating cured for 7 days at 77°F and 50 percent relative humidity; applying steady pressure to the cured film with the nail of your finger and moving finger from left to right and back several times; and rating the mar resistance as good if no scratch in the film is observed and as poor if scratches or marks are present. Sward hardness is measured on a 3 mil thick wet film

-15-

coating cured for 7 days at 77°C and 50 percent relative humidity using ASTM D 2134. Chemical resistance is measured on a 3 mil thick wet film coating cured for 7 days at 77°C and 50 percent relative humidity using ASTM D 1308-87. Impact resistance is measured on a 3 mil thick wet film coating cured for 7 days at 77°C and 50 percent relative humidity using ASTM D 2794-69.

In the specification and examples, there have been disclosed preferred embodiments of the invention. Although specific terms are employed, they are used in a generic and descriptive sense only and not for the purpose of limitation, the scope of the invention being defined by the following claims.

-16-

That Which Is Claimed Is:

1. A water dispersible oil modified urethane polymer comprising:
 - (a) a prepolymer containing
 - (i) isocyanate groups
 - (ii) acid or amine salt forming groups, and
 - (iii) ethylenic unsaturation resulting from reaction of said prepolymer with an unsaturated ester polyol
 - (b) an active hydrogen-containing compound having active hydrogen functionality of two or more; wherein at least 20 percent of the prepolymer is chain extended with an active hydrogen-containing compound having active hydrogen functionality of four or more.
2. The water dispersible oil modified urethane polymer according to Claim 1, wherein the active hydrogen-containing compound having active hydrogen functionality of four or more is triethylene tetramine, tripropylene tetramine or tetraethylene pentaamine.
3. The water dispersible oil modified urethane polymer according to Claim 1, wherein the isocyanate group is provided from the group consisting of 1,4-tetramethylene diisocyanate, 1,6-hexamethylene diisocyanate, 2,2,4-trimethyl-1,6-diisocyanatohexane, 1,10-decamethylene diisocyanate, 1,4-cyclohexylene diisocyanate, 4,4'-methylenebis(isocyanatocyclohexane), 1-isocyanato-3-isocyanatomethyl-3,5,5-trimethyl-cyclohexane, *m*- and *p*-phenylene diisocyanate, 2,6- and 2,4-tolylene diisocyanate, xylene diisocyanate, 4-chloro-1,3-phenylene diisocyanate, 4,4'-biphenylene diisocyanate, 4,4'-methylene diphenylisocyanate, 1,5-naphthylene diisocyanate, 1,5-tetrahydronaphthylene diisocyanate, and polymethylene polyphenylisocyanates.

-17-

4. The water dispersible oil modified urethane polymer according to Claim 1, wherein the acid salt forming group is introduced into the prepolymer by a compound containing active hydrogen and active acid groups neutralized by a neutralizing base.

5. The water dispersible oil modified urethane polymer according to Claim 4, wherein the compound containing active hydrogen and active acid groups is selected from the group consisting of hydroxy and mercapto carboxylic acids, aminocarboxylic acids, aminohydroxy carboxylic acids, sulfonic acids, hydroxy sulfonic acids and aminosulfonic acids.

6. The water dispersible oil modified urethane polymer according to Claim 4, wherein the compound having active hydrogen and active acid groups is dimethylol propionic acid and the neutralizing base is a base selected from the group consisting of alkali metal hydroxides, ammonium hydroxide, and tertiary amines.

7. The water dispersible oil modified urethane polymer according to Claim 1, wherein the amine salt forming group is introduced into the prepolymer by a compound containing active hydrogens and active basic groups neutralized by a neutralizing acid.

8. The water dispersible oil modified urethane polymer according to Claim 7, wherein the amine salt forming group is selected from the group consisting of aliphatic, cycloaliphatic, aromatic and heterocyclic amino alcohols, diols and triols; amines; diamines; triamines; and amides.

-18-

9. The water dispersible oil modified urethane polymer according to Claim 7, wherein the neutralizing acid is selected from the group consisting of hydrochloric acid, acetic acid, phosphoric acid, glycollic acid, and lactic acid.

10. The water dispersible oil modified urethane polymer according to Claim 1, wherein ethylenic unsaturation is provided by an ester polyol made by reaction of an aromatic or aliphatic polyol containing at least two hydroxyl groups per molecule with a fatty acid wherein a portion of the fatty acid is unsaturated.

11. The water dispersible oil modified urethane polymer according to Claim 10, wherein the polyol is selected from the group consisting of ethylene glycol, propylene glycol, 1,3 propane diol, 1,3 butylene glycol, 1,4 butane diol, Bisphenol A, trimethylol propane, pentaerythritol, glycerin, neopentyl glycol, and cyclohexane dimethanol, and mixtures thereof.

12. The water dispersible oil modified urethane polymer according to Claim 1, wherein ethylenic unsaturation is provided by an ester polyol formed by the transesterification of a drying or a semi-drying oil with an aromatic or aliphatic polyol containing at least two hydroxyl groups per molecule.

13. The water dispersible oil modified urethane polymer according to Claim 12, wherein the polyol is selected from the group consisting of ethylene glycol, propylene glycol, 1,3 propane diol, 1,3 butylene glycol, 1,4 butane diol, Bisphenol A, trimethylol propane, pentaerythritol, glycerin,

-19-

neopentyl glycol, and cyclohexane dimethanol, and mixtures thereof.

14. The water dispersible oil modified urethane polymer according to Claim 12, wherein the oil
5 is selected from the group consisting of linseed oil, soybean oil, safflower oil, tall oil, sunflower oil, dehydrated castor oil, tung oil, sardine oil, olive oil and cottonseed oil.

15. The water dispersible oil modified
10 urethane polymer according to Claim 10, wherein the unsaturated fatty acid is selected from the group consisting of linoleic, palmitoleic, linolenic, eleostearic, arachidonic and ricinoleic acids.

16. The water dispersible oil modified
15 urethane polymer according to Claim 1, wherein the prepolymer includes an additional polyol selected from the group consisting of polyester, polycarbonate, polyether, and acrylic polyols.

17. The water dispersible oil modified
20 urethane polymer according to Claim 16, wherein the additional polyol is a neopentyl glycol-1,6-hexane diol-adipic acid polyol having a hydroxyl value of 120.

18. A mar and scuff resistant coating
composition comprising a urethane composition dispersed
25 in an aqueous medium comprising a prepolymer containing an organic polyisocyanate, acid or amine salt forming groups, ethylenic unsaturation resulting from reaction of said prepolymer with an unsaturated ester polyol, and an active hydrogen-containing compound having
30 active hydrogen functionality of two or more; wherein at least 20 percent of the prepolymer is chain extended with an active hydrogen-containing compound having

-20-

active hydrogen functionality of four or more; and a promoter which promotes crosslinking by air oxidation of the ethylenic unsaturation of the urethane composition.

5 19. The mar and scuff resistant coating composition according to Claim 18, wherein the active hydrogen-containing compound having active hydrogen functionality of four or more is triethylene tetramine, tripropylene tetramine or tetraethylene pentaamine.

10 20. The mar and scuff resistant coating composition according to Claim 18, wherein the acid salt forming group is introduced into the prepolymer by a compound containing active hydrogen and active acid groups neutralized by a neutralizing base.

15 21. The mar and scuff resistant coating composition according to Claim 20, wherein the compound containing active hydrogen and active acid groups is selected from the group consisting of hydroxy and mercapto carboxylic acids, aminocarboxylic acids,
20 aminohydroxy carboxylic acids, sulfonic acids, hydroxy sulfonic acids and aminosulfonic acids.

 22. The mar and scuff resistant coating composition according to Claim 20, wherein the compound having active hydrogen and active acid groups is
25 dimethylol propionic acid and the neutralizing base is a base selected from the group consisting of alkali metal hydroxides, ammonium hydroxide, and tertiary amines.

 23. The mar and scuff resistant coating
30 composition according to Claim 18, wherein the amine salt forming group is introduced into the prepolymer by

-21-

a compound containing active hydrogens and active basic groups neutralized by a neutralizing acid.

24. The mar and scuff resistant coating composition according to Claim 23, wherein the amine salt forming group is selected from the group consisting of aliphatic, cycloaliphatic, aromatic and heterocyclic amino alcohols, diols and triols; amines; diamines; triamines; and amides.

25. The mar and scuff resistant coating composition according to Claim 23, wherein the neutralizing acid is selected from the group consisting of hydrochloric acid, acetic acid, phosphoric acid, glycollic acid and lactic acid.

26. The mar and scuff resistant coating composition according to Claim 18, wherein ethylenic unsaturation is provided by an ester polyol made by reaction of an aromatic or aliphatic polyol containing at least two hydroxyl groups per molecule with a fatty acid wherein a portion of the fatty acid is unsaturated.

27. The mar and scuff resistant coating composition according to Claim 26, wherein the polyol is selected from the group consisting of ethylene glycol, propylene glycol, 1,3 propane diol, 1,3 butylene glycol, 1,4 butane diol, Bisphenol A, trimethylol propane, pentaerythritol, glycerin, neopentyl glycol, and cyclohexane dimethanol, and mixtures thereof.

-22-

28. The mar and scuff resistant coating composition according to Claim 18, wherein ethylenic unsaturation is provided by transesterification of a drying or a semi-drying oil with an aromatic or aliphatic polyol containing at least two hydroxyl groups per molecule.

29. The mar and scuff resistant coating composition according to Claim 28, wherein the polyol is selected from the group consisting of ethylene glycol, propylene glycol, 1,3 propane diol, 1,3 butylene glycol, 1,4 butane diol, Bisphenol A, trimethylol propane, pentaerythritol, glycerin, neopentyl glycol, and cyclohexane dimethanol, and mixtures thereof.

30. The mar and scuff resistant coating composition according to Claim 26, wherein the fatty acid is selected from the group consisting of linoleic, palmitoleic, linolenic, eleostearic, arachidonic, and ricinoleic acids.

31. The mar and scuff resistant coating composition according to Claim 28, wherein the oil is selected from the group consisting of linseed oil, soybean oil, safflower oil, tall oil, sunflower oil, dehydrated castor oil, tung oil, sardine oil, olive oil, and cottonseed oil.

32. The mar and scuff resistant coating composition according to Claim 18, wherein the prepolymer includes an additional polyol selected from the group consisting of polyester, polycarbonate, polyether, and acrylic polyols.

-23-

33. The mar and scuff resistant coating composition according to Claim 32, wherein the additional polyol is a neopentyl glycol-1,6-hexane diol-adipic acid polyol having a hydroxyl value of 120.

- 5 34. A method of forming a mar and scuff resistant water dispersed oil modified urethane polymer, the method comprising the steps of:
- (a) forming a prepolymer having isocyanate groups, acid or amine salt forming groups and ethylenic
10 unsaturation resulting from reaction of said prepolymer with an unsaturated ester polyol;
- (b) neutralizing the acid or amine salt of the prepolymer formed in step (a);
- (c) dispersing the neutralized prepolymer in
15 an aqueous medium; and
- (d) chain extending the dispersed prepolymer with an active hydrogen-containing compound having active hydrogen functionality with the proviso that at least 20 percent of the chain extension is conducted
20 with an active hydrogen-containing compound having active hydrogen functionality of four or more.

35. The method according to Claim 34, wherein the active hydrogen-containing compound having active hydrogen functionality of four or more is
25 triethylene tetramine, tripropylene tetramine or tetraethylene pentaamine.

36. The method according to Claim 34, wherein the isocyanate group is derived from the group consisting of 1,4-tetramethylene diisocyanate, 1,6-
30 hexamethylene diisocyanate, 2,2,4-trimethyl-1,6-diisocyanatohexane, 1,10-decamethylene diisocyanate, 1,4-cyclohexylene diisocyanate, 4,4'-methylenebis(isocyanatocyclohexane), 1-isocyanato-3-isocyanatomethyl-3,5,5-trimethyl-cyclohexane, m- and

-24-

p-phenylene diisocyanate, 2,6- and 2,4-tolylene diisocyanate, xylene diisocyanate, 4-chloro-1,3-phenylene diisocyanate, 4,4'-biphenylene diisocyanate, 4,4'-methylene diphenylisocyanate, 1,5-naphthylene diisocyanate, 1,5-tetrahydronaphthylene diisocyanate, and polymethylene polyphenylisocyanates.

37. The method according to Claim 34, wherein the acid salt forming group is introduced into the prepolymer by a compound containing active hydrogen and active acid groups neutralized by a neutralizing base.

38. The method according to Claim 37, wherein the compound containing active hydrogen and active acid groups is selected from the group consisting of hydroxy and mercapto carboxylic acids, aminocarboxylic acids, aminohydroxy carboxylic acids, sulfonic acids, hydroxy sulfonic acids and aminosulfonic acids.

39. The method according to Claim 37, wherein the compound having active hydrogen and active acid groups is dimethylol propionic acid and the neutralizing base is a base selected from the group consisting of alkali metal hydroxides, ammonium hydroxide, and tertiary amines.

40. The method according to Claim 34, wherein the amine salt forming group is introduced into the prepolymer by a compound containing active hydrogens and active basic groups neutralized by a neutralizing acid.

-25-

41. The method according to Claim 40,
wherein the amine salt forming group is selected from
the group consisting of aliphatic, cycloaliphatic,
aromatic and heterocyclic amino alcohols, diols and
5 triols; amines; diamines; triamines; and amides.

42. The method according to Claim 40,
wherein the neutralizing acid is selected from the
group consisting of hydrochloric acid, acetic acid,
phosphoric acid, glycollic acid, and lactic acid.

10 43. The method according to Claim 32,
wherein steps (b) and (d) are done substantially
simultaneously.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 95/00489

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: C08G 18/08, C08G 18/10, C08G 18/36, C09D 175/04
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: C08G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP, A1, 0017199 (TEXTRON INC.), 15 October 1980 (15.10.80)	1-43
A	EP, A2, 0552420 (H.B. FULLER LICENSING & FINANCING, INC.), 28 July 1993 (28.07.93)	1-43
A	US, A, 5039732 (KARTAR S. ARORA), 13 August 1991 (13.08.91)	1-43

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

- Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "B" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

4 May 1995

23. 05. 95

Name and mailing address of the International Searching Authority Authorized officer



European Patent Office, P.O. 5818 Postendaan 1
NL-2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

JACK HEDLUND

INTERNATIONAL SEARCH REPORT

SA *04022

01/04/95

International application No.

PCT/US 95/00489

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A1- 0017199	15/10/80	AU-B- 534243	12/01/84
		AU-A- 5694380	02/10/80
		CA-A- 1128245	20/07/82
		JP-C- 1276827	16/08/85
		JP-A- 55133450	17/10/80
		JP-B- 59041664	08/10/84
		US-A- 4277380	07/07/81
EP-A2- 0552420	28/07/93	US-A- 5354807	11/10/94
US-A- 5039732	13/08/91	NONE	

THIS PAGE BLANK (USPTO)